



#plymaudit



Democratic Support

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Published 16 June 2015

AUDIT COMMITTEE

Thursday 25 June 2015
10.00 am
Council House (Next to the Civic Centre), Plymouth

Members:

Councillor Parker-Delaz-Ajete, Chair
Councillor Sam Leaves, Vice Chair
Councillors Sam Davey, Murphy and Mrs Pengelly

Independent Members:

Mr Clarke and Mr Stewart

Members are invited to attend the above meeting to consider the items of business overleaf.

Tracey Lee

Chief Executive

AUDIT COMMITTEE

AGENDA

PART I – PUBLIC MEETING

1. TO NOTE THE CHAIR AND VICE CHAIR

The committee will be asked to note the appointment of the Chair and Vice Chair for the municipal year 2015-2106.

2. APOLOGIES

To receive apologies for non-attendance submitted by Committee Members.

3. DECLARATIONS OF INTEREST

Members will be asked to make any declarations of interest in respect of items on this agenda.

4. MINUTES

(Pages 1 - 12)

To confirm the minutes of the meeting held on 26 March 2015.

5. CHAIR'S URGENT BUSINESS

To receive reports on business which, in the opinion of the Chair, should be brought forward for urgent consideration.

6. INTRODUCTIONS FROM NEWLY APPOINTED AUDITORS, BDO

(Pages 13 - 16)

The Committee will receive an introduction from the newly appointed external auditors, BDO.

7. INTERNAL AUDIT CHARTER/INTERNAL AUDIT STRATEGY

(Pages 17 - 34)

The Committee will be provided with the Internal Audit Charter and the Internal Audit Strategy.

8. INTERNAL AUDIT ANNUAL REPORT - 2014/15

(Pages 35 - 74)

The Committee will be provided with the Internal Audit Annual Report 2014/15.

9. RISK AND OPPORTUNITY MANAGEMENT 2015 - 2017 AND ANNUAL REPORT (Pages 75 - 104)

The Committee will be provided with the Risk & Opportunity Management Annual Report.

10. OPERATIONAL RISK AND OPPORTUNITY MANAGEMENT UPDATE REPORT (Pages 105 - 110)

The Committee will be provided with the Operational Risk & Opportunity Management Update Report.

11. ANNUAL GOVERNANCE STATEMENT 2014/15 (Pages 111 - 138)

The Committee will be provided with the Annual Governance Statement.

12. INFORMATION GOVERNANCE ANNUAL REPORT (Pages 139 - 144)

The Committee will be provided with the Information Governance Annual Report.

13. CONSTITUTIONAL CHANGES IN RELATION TO CONTRACT STANDING ORDERS AND FINANCIAL REGULATIONS (TO FOLLOW)

The Committee will receive a report detailing the changes to the constitutional changes in relation to contract standing orders and financial regulations.

14. STATEMENT OF ACCOUNTS 2014/15 (Pages 145 - 152)

The Committee will be provided with an update on the Statement of Accounts 2014/15.

15. TREASURY MANAGEMENT PRACTICES, PRINCIPLES AND SCHEDULES 2015/16 AND TREASURY MANAGEMENT OUTTURN FOR 2014/15 (TO FOLLOW)

The Committee will be provided with the Treasury Management Practices, Principles and Schedules 2015/16, and the Treasury Management Outturn for 2014/15.

16. EXTERNAL AUDIT PLAN PROGRESS REPORT (Pages 153 - 164)

The Committee will be provided with the External Plan Progress Report.

17. AUDIT COMMITTEE WORKPLAN 2015/16

For the Committee to discuss the Audit Committee Workplan 2015/16.

18. EXEMPT BUSINESS

To consider passing a resolution under Section 100A(4) of the Local Government Act 1972 to exclude the press and public from the meeting for the following item(s) of business on the grounds that it (they) involve the likely disclosure of exempt information as defined in paragraph 3 of Part I of Schedule 12A of the Act, as amended by the Freedom of Information Act 2000.

PART II (PRIVATE MEETING)

AGENDA

MEMBERS OF THE PUBLIC TO NOTE

that under the law, the Panel is entitled to consider certain items in private. Members of the public will be asked to leave the meeting when such items are discussed.

NIL.